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PTO/SB/05 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No. SJO920000165US2
First Inventor or Application Identifier		Ho et al
Title	READ HEAD HAVING A TUNNEL JUNCTION SENSOR WITH A FREE LAYER BIASED BY EXCHANGE COUPLING WITH INSULATING ANTIFERROMAGNETIC (AFM) LAYERS	
Express Mail Label No.		EL 749517939 US

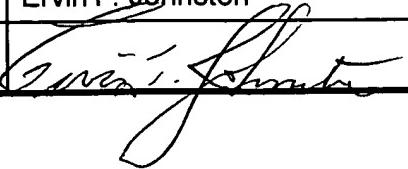
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Mail Stop: Patent Application Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages <u>20</u>] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention</p> <p>- Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>11</u>]</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>2</u>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input checked="" type="checkbox"/> Incorporation by Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement Verifying identity</p>		
ACCOMPANYING APPLICATION PARTS		
<p>8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure Statements <input type="checkbox"/> Copies of (IDS) w/PTO-1449 & PTO-892 IDS Citations</p> <p>12. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, Statement(s) <input type="checkbox"/> Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Other: <u>Express Mail Certification</u></p>		

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: 10/054,656
Prior application information: Examiner W. J. Klimowicz Group/Art Unit 2652

18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here)		<input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below
ADDRESS	International Business Machines Corporation IP Law L2PA/010 5600 Cottle Road San Jose, California 95193	
	Telephone: 808-661-1197 (Ervin F. Johnston) FAX: 808-661-9797	
COUNTRY	USA	

Name (Print/Type)	Ervin F. Johnston	Registration No. (Attorney/Agent)	20,190
Signature			Date
			April / 2004

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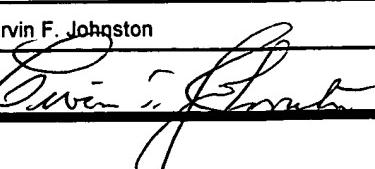
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 FEE TRANSMITTAL <small>Patent fees are subject to annual revision on October 1. These are the fees effective November 10, 1998. Small entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12 See 37 C.F.R. §§ 1.27 and 1.28</small>		Complete If Known																																																																																																																																																															
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number <u>09-0466</u> Deposit Account Name <u>International Business Machines Corp.</u>		3. ADDITIONAL FEES <table> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="2">Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee</th> <th>Fee</th> <th>Fee</th> <th>Code (\$)</th> <th>Code (\$)</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing or cover sheet</td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>Request for reexamination</td> </tr> <tr> <td>112</td> <td>920</td> <td>112</td> <td>920</td> <td>Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for response within first month</td> </tr> <tr> <td>116</td> <td>420</td> <td>216</td> <td>210</td> <td>Extension for response within second month</td> </tr> <tr> <td>117</td> <td>950</td> <td>217</td> <td>475</td> <td>Extension for response within third month</td> </tr> <tr> <td>118</td> <td>1,480</td> <td>218</td> <td>740</td> <td>Extension for response within fourth month</td> </tr> <tr> <td>128</td> <td>2,010</td> <td>228</td> <td>1,005</td> <td>Extension for response within fifth month</td> </tr> <tr> <td>119</td> <td>330</td> <td>219</td> <td>165</td> <td>Notice of Appeal</td> </tr> <tr> <td>120</td> <td>330</td> <td>220</td> <td>165</td> <td>Filing a brief in support of an appeal</td> </tr> <tr> <td>121</td> <td>290</td> <td>221</td> <td>145</td> <td>Request for oral hearing</td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive unavoidably abandoned application</td> </tr> <tr> <td>141</td> <td>1,330</td> <td>241</td> <td>665</td> <td>Petition to revive unintentionally abandoned application</td> </tr> <tr> <td>142</td> <td>1,330</td> <td>242</td> <td>665</td> <td>Utility issue fee (or reissue)</td> </tr> <tr> <td>143</td> <td>480</td> <td>243</td> <td>240</td> <td>Design issue fee</td> </tr> <tr> <td>144</td> <td>640</td> <td>315</td> <td>320</td> <td>Plant issue fee</td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180</td> <td>Submission of Information Disclosure Stmt</td> </tr> <tr> <td>146</td> <td>770</td> <td>246</td> <td>385</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> </tr> <tr> <td>179</td> <td>770</td> <td>249</td> <td>385</td> <td>Request for continued examination (RCE) (37 CFR 1.114)</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Other fee (specify)</td> <td colspan="2">Terminal Disclaimer</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Other fee (specify)</td> <td colspan="2">Application for Patent Term Adjustment</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Other fee (specify)</td> <td colspan="2">Certificate of Correction</td> </tr> <tr> <td colspan="2"></td> <td colspan="4" style="text-align: right;">SUBTOTAL (3) (\$)</td> </tr> <tr> <td colspan="2"></td> <td colspan="4" style="text-align: center;">*Reduced by Basic Filing Fee Paid</td> </tr> </tbody> </table>					Large Entity	Small Entity	Fee Description		Fee Paid	Fee	Fee	Fee	Code (\$)	Code (\$)	105	130	205	65	Surcharge - late filing fee or oath	127	50	227	25	Surcharge - late provisional filing or cover sheet	139	130	139	130	Non-English specification	147	2,520	147	2,520	Request for reexamination	112	920	112	920	Requesting publication of SIR prior to Examiner action	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	115	110	215	55	Extension for response within first month	116	420	216	210	Extension for response within second month	117	950	217	475	Extension for response within third month	118	1,480	218	740	Extension for response within fourth month	128	2,010	228	1,005	Extension for response within fifth month	119	330	219	165	Notice of Appeal	120	330	220	165	Filing a brief in support of an appeal	121	290	221	145	Request for oral hearing	140	110	240	55	Petition to revive unavoidably abandoned application	141	1,330	241	665	Petition to revive unintentionally abandoned application	142	1,330	242	665	Utility issue fee (or reissue)	143	480	243	240	Design issue fee	144	640	315	320	Plant issue fee	122	130	122	130	Petitions to the Commissioner	126	180	126	180	Submission of Information Disclosure Stmt	146	770	246	385	Filing a submission after final rejection (37 CFR 1.129(a))	179	770	249	385	Request for continued examination (RCE) (37 CFR 1.114)			Other fee (specify)		Terminal Disclaimer				Other fee (specify)		Application for Patent Term Adjustment				Other fee (specify)		Certificate of Correction				SUBTOTAL (3) (\$)						*Reduced by Basic Filing Fee Paid			
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2. EXTRA CLAIM FEES Total Claims <u>23</u> - 20** = <u>3</u> x <u>18</u> = <u>54.00</u> Independent Claims <u>5</u> - 3** = <u>2</u> x <u>86</u> = <u>172.00</u> Multiple Dependent Claims <u> </u> x <u> </u> = <u> </u>																																																																																																																																																																	
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SUBMITTED BY		COMPLETE (if applicable)	
Typed or Printed Name	Ervin F. Johnston	Reg. Number	20,190
Signature		Date	April 17, 2004

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